



Where are you at risk?

The 21st™ Sentry

The old way of doing business no longer works.

- Business transactions and capital funding are, and will be, under increased scrutiny.
- New regulations are coming.
- Total reporting transparency is now required for all companies.
- Companies are scrutinizing and cutting supply-side and service vendors.
- Clients and vendors need to be assessed to determine their current and long-term viability.
- Business direction changes and related reorganizations will have to be made quickly.

Will your company succeed?

Are you in a position to survive and, more importantly, thrive? Can you answer these questions?

- What and when are audit and compliance reviews scheduled?
- Are there any open issues that need to be resolved or remediation efforts that need to be closed prior to any pending audit or review?
- Are your current controls still valid?
- Is all of the required reporting and backup documentation in place?
- Have you assessed where you stand in terms of people: knowledge, experience and availability?
- Do you know how your clients' orders and inquiries are being handled? Are things getting lost? Have service levels deteriorated?
- How have your clients been impacted by the economic changes?
- Where do your vendors stand?

To succeed, you need to:

- Determine your exposure and assign a risk level for all identified risks.
- Develop a corrective action strategy, related plan and reporting metrics.
- Assess resources and begin needed initiatives.

The 21st Sentry™ assists clients:

- Respond to and plan for heightened scrutiny by government agencies, the public, and others.
- Streamline, improve and realign business operations.
- Exploit new business opportunities and unforeseen events.

Our expertise

- Risk reduction and management.
- Compliance programs and solutions.
- Due-diligence (pre-investment, compliance, on-going vetting processes, post-investment).
- Customer and corporate information privacy and security (strategy, policies, procedures, tracking use and changes of critical data).
- Problem "discovery", problem root cause determination and actionable plan development.
- Process improvement, cost reduction and organization realignment.
- Large-scale, matrixed project management.

Risk / Compliance Related Services

- **Risk Identification and Assessment**
Perform an audit of functional areas to identify risks and determine if and what remediation is required to mitigate those risks and improve operational processes. Focus includes financial transparency in management and reporting, EEOC performance, data privacy of financial, employee and customer data, and compliance reporting.
- **Compliance Policy and Procedure Upgrade**
Review, create or update, and implement written compliance policies and procedures.
- **Remediation Programs**
Develop remediation strategies, related actionable plans, and programs.
- **Control Review and Process Streamlining**
Review key processes and determine viability of key controls. Identify risks and make process and control change recommendations to achieve compliance, reduce costs and improve performance.

- **Documentation Review and Revision**
Review and update current program and process documentation to ensure that it is up-to-date and meets compliance requirements.
- **Partner/Vendor Surveillance Program**
Determine and monitor business partners'/vendors' business and compliance status to ensure that they and their industry will remain viable during their contract term.
- **Training**
Design and conduct sessions on risk and compliance management incorporating both legislated and company-designed policies. Use in-person interactive classroom instruction, case studies, and remote conferencing. Training can be provided for all personnel levels.

How we work

Our team of subject matter experts works with professionals across your business to provide actionable approaches that eliminate high risk exposure and solve legislative, regulatory, data, and security problems within today's complex environments.

Our "can do" culture has produced an excellent track record of delivering quality results on-time and within budgets. All results are presented as measurable benefits, such as risk elimination, shorter delivery time, accelerated and improved business processes, and delivery of a competitive industry advantage.

When performing engagements, we ensure complete confidentiality, create actionable plans and correct audit trails, safeguard client's assets and reputation, prevent recurrences, and arrive at a resolution with as little disruption as possible to the regular flow of business.

Our engagements vary in type and range from special short-term assignments to full, large-scale project efforts. Most of the engagements are performed under non-disclosure agreements.

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To reach us, simply call 212.319.1903

To learn more, visit our website:

www.the21stsentry.com